ABSTRACT

With more and more autonomous deployments of wireless networks, accurate knowledge of the RF environment is becoming indispensable. Various techniques have been developed over the years that can not only assess the RF environment but can also characterize the various radio transmitters (sources) that define the ambient RF environment. Machine learning techniques have shown promise for such characterizations through the development of RF machine learning (RFML) systems delivering autonomous control. Although classical machine learning techniques work well for a large variety of tasks, they have not done as well for RFML. For RFML tasks, deep feature learners with an inherent recurrent structure have been shown to perform well. Even so, the field of RFML is still very young, and a lot needs to be done to bridge the gap between the ML community and the wireless community for RFML to be successfully applied for solving large-scale real-life problems.

INTRODUCTION

The ubiquitous use of wireless services and applications has become ingrained in every aspect of our lives. We depend on wireless technologies not only for our smartphones but also for other applications like telemetry, surveillance, emitter location, radio navigation, jamming, anti-jamming, radar detection, unmanned aerial vehicle (UAV) surveillance, navigation, and location tracking. Applications like teleconferencing and telemedicine, which traditionally depended on wired networks, have migrated to the wireless domain. With such large-scale dependence on use of the RF spectrum, it becomes imperative that we manage and use the limited available spectrum in a manner that is as efficient as possible. In order to do that, one needs to better understand the ambient signal characteristics for optimal deployment of wireless infrastructure and efficient resource provisioning.

Design of new wireless technologies and deployment of wireless networks using those technologies must take into consideration several factors including detection and monitoring of encroachment, ability to predict RF propagation and coverage, techniques to mitigate noise, policies enabling spectrum sharing, characterization of frequencies and waveforms, coverage analysis for optimal deployment, detection and de-con-
Machine Learning Algorithms in RF Domain

Supervised Learning
- K Nearest Neighbours
- Decision Trees
- Bayesian Classifier
- SVM
- Neural Networks
- K Means Clustering
- Hierarchical Clustering
- Bayesian Learning
- PCA

Unsupervised Learning
- PCA
- Generative Adversarial Nets
- Non-parametric
- DNN
- CNN
- RNN

Figure 1. Classification of machine learning algorithms in the RF domain.

- Support vector machine (SVM)
- Deep neural network (DNN)
- Convolutional neural network (CNN)
- Recurrent neural network (RNN)
- Non-parametric Bayesian classifier

SVM and the three neural networks (NNs) perform better with continuous and multi-dimensional datasets, which could be leveraged when the RF signals contain multiple attributes. However, applicability of SVM and NN comes at a cost: they exhibit high variance sensitivity. On the other hand, Bayesian classifiers are advanced statistical techniques for classifying and identifying features. We discuss how a non-parametric version of the Bayesian classifier with an infinite Gaussian mixture model can be used for emitter identification without prior knowledge of the number of transmitters or their characteristics. In spite of these developments, we argue that the ML techniques have their limitations in an adversarial setting (i.e., if adversarial RF signals are present during the learning and/or classification process). We show that approaches based on the relatively new generative adversarial nets (GANs) are well suited for learning in adversarial RF environments, and are able to distinguish between adversarial and trusted signals and sources. We implement the discriminative and generative models of a GAN on software defined radios (SDRs) and show that the model could classify four transmitters as well as detect rogue transmitters with very high accuracy.

**Machine Learning for RF Signals**

The basic workflow of any ML algorithm starts with digesting the feature space. Most often, the quality of the model learned by the algorithm depends heavily on the quality of the features used as input to the algorithm, which in turn depends on the problem. Thus, for example, even though the RF data may consist of the received signal strength indicator (RSSI) values for a given sample belonging to a particular class using a priori knowledge. A specific category of Bayesian classifier is the Naive Bayes, where one assumes that each feature contributes toward the classification and are mutually correlated. Since different parameters from the same kind of radios can be considered as mutually correlated, Naive Bayes also works reasonably well with limited training data and is less likely to suffer from overfitting. However, the predictions are less accurate compared to the other methods like neural networks [3, 4]. We defer our discussion on SVMs, DNN, CNN, and RNN to a later section as they have shown promising results in

**Supervised Learning in the RF Domain**

Supervised learning algorithms are a set of learning algorithms where a set of mutually exclusive labeled data is used for building the learning model (also called training). One of the simplest supervised learning algorithms is based on the K-nearest neighbor (KNN) search. The KNN algorithm classifies previously unseen data based on the labels of the nearest data samples that are included in the training set. Algorithms based on KNN searches are usually very computation-intensive, especially in the higher dimensions where the dimension of the feature space factors into the running time of the known algorithms for computing KNNs. This also makes algorithms based on KNN search unsuitable for the RF domain. Furthermore, KNN may not provide optimal performance for a higher-dimensional dataset, which is often the case for RF signal data. Another set of supervised learning algorithms are based on the idea of decision trees. Decision trees are used to assign specific class labels to the items using predictive modeling based on the values of the features associated with the item of interest. The decision trees perform well with high-dimensional data, which could be advantageous for handling multi-dimensional RF data; however, longer training time and lack of accuracy could hinder usefulness in mission-critical applications, as is most often the case with RF processing. Another important class of supervised algorithms are based on the idea of Bayesian classifiers. Bayesian classifiers predict the probability of a given class using a priori knowledge. A specific category of Bayesian classifier is the Naive Bayes, where one assumes that each feature contributes toward the classification and are mutually correlated. Since different parameters from the same kind of radios can be considered as mutually correlated, Naive Bayes also works reasonably well with limited training data and is less likely to suffer from overfitting. However, the predictions are less accurate compared to the other methods like neural networks [3, 4]. We defer our discussion on SVMs, DNN, CNN, and RNN to a later section as they have shown promising results in
the RF domain and thus deserve to be discussed in detail.

**Unsupervised Learning in the RF Domain**

Unsupervised learning algorithms are a set of algorithms where there is no explicit training phase for building a model from labeled data as is done with the supervised algorithms. These algorithms make inference from unlabeled data, most often exploiting the observed variance of the data and their association relative to each other. K-means clustering is one such learning algorithm where the observed data is partitioned into clusters using information about the distance between the data points (or more generally using the similarity between the observed data points). New data is assigned to a cluster such that the data point is closest to a particular cluster mean. Another set of clustering algorithms are based on the idea of hierarchies. Here the items of interest are progressively partitioned into a hierarchy of clusters; the clusters that are higher up in the hierarchy are coarse, whereas the ones that are lower are more fine-grained. Hierarchical clustering can be used for transmitter identification and classification where the number of entities are unknown. Another set of unsupervised algorithms concern a signal data constellation. Dimensionality reduction aims to identify a subspace of the feature space such that the projection of the data into the subspace (which has a lower dimension than the feature space) would explain most of the variation observed in the data.

Principal component analysis (PCA) is one such method for dimensionality reduction and data compression. PCA can be very useful for the multi-dimensional RF data as it can be leveraged to speed up the underlying classification or regression algorithms when faster online learning and processing is required.

The final and most important category of unsupervised algorithms for the RF domain is the generative adversarial networks (GANs) [2]. It is a relatively new class of algorithms that have been shown to perform well in adversarial settings. GANs use a generative model that enables the realistic generation of samples from a given distribution, which can then be used to train a discriminator for identifying real samples drawn from the distribution as opposed to fake ones obtained from the generator. We dedicate a section to describing GANs as they have been shown to perform very well in adversarial settings. Likewise, non-parametric Bayesian learning is discussed later due to its relevance in the RF domain.

**Important ML Algorithms for RF Domain**

In this section, we describe the ML techniques that have been most successful in characterizing the RF environment by identifying and differentiating signals from different kinds of transmitters including broadcast radio, local and wide area data and voice radios, radars, and so on.

**Support Vector Machine**

SVM has been one of the most successful classical ML algorithms and has been applied to a vast array of problems. SVM is a discriminative classifier using supervised learning and generates an optimal hyperplane for data classification and identification. At its heart, SVM is a binary classifier which assumes that the data is linearly separable and computes the optimal separating hyperplane by solving a quadratic program on the space defined by the training data.

SVM has been applied to the RF domain for transmitter identification using fingerprinting. In [5], Kroon et al. presented an RF fingerprinting technique for banning prohibited transmitters from accessing the cellular base station. They proposed a customized ensemble classifier based on the probability density of an SVM classifier, which achieved 97 percent true positive and 80 percent true negative rates.

However, in recent times, the research trends on RF fingerprinting are shifting toward using raw signal data as compared to using hand engineered features. This has also been facilitated by the availability of automatic feature learning systems like the multi layer perceptron. In [14], Youssef et al. investigated different ML strategies including SVM and neural networks using raw I/Q data rather than using any hand-engineered features. I/Q data consists of a complex-valued in-phase (I) and quadrature (Q) component in a signal data constellation. Their implementation produces good training accuracy of 87.6 percent but poor test accuracy of 67.6 percent.

SVM classifiers are relatively easy to implement and can be extended for higher-dimensional data. However, achieving high accuracy remains a challenge. Furthermore, SVM implementations do not typically consider any adversarial situation; thus, when a malicious entity tries to pass as a trusted device, the SVM classifier has no way of determining its true identity and thus would incorrectly classify it as one of the trusted devices. This makes the possibility of using SVMs in adversarial settings quite low. Moreover, SVMs require hand-engineered features even when raw I/Q data is used. As a result of these drawbacks and the recent resurgence of NNs, attention has turned to using the same for RFML applications.

**Deep Neural Networks**

Deep neural networks have revolutionized the field of artificial intelligence in the last few years. The problems tackled by DNNs range over computer vision, natural language processing, speech processing, and so on. They have been shown to perform better than humans for some of these problems. More recently, [3, 6] have shown the efficacy of using DNNs in RF communication systems. In [3], Shea et al. presented a modulation recognition approach using a DNN, achieving nearly 82–87 percent accuracy. They used a synthetic dataset consisting of 11 widely used modulations: 8 digital and 3 analog modulations. Their RF fingerprinting was based on the modulation type, which was used as the primary input feature for the network, which then computes more complex features for model learning.

The automatic features learned by DNNs are often better and more informative than the hand-engineered features used for SVMs, and hence the DNNs yield better accuracy. However, DNNs do not perform as well for datasets with spatial and temporal correlations, which is the
Recurrent neural networks are capable of predictions with time series data. They have been shown to work well with speaker recognition tasks [8]; inspired by this and based on the fact that the raw RF signal data from the transmitter represents a time series, the RNN can be considered as a potential model to build a system for learning transmitter embedding and classification. One variant of the RNN is long short-term memory (LSTM) [9], which has been successfully used for modeling temporal data such as speech. The problem of estimating the noise from the signal requires analysis of the temporal data because the noise characteristics can only be estimated by looking at the received signal over time.

In order to estimate the noise, any system needs to “listen” to the underlying signal for some time and “remember” the same for noise estimation. Previously, NNs lacked this capability. Another issue was the problem of vanishing gradients when trying to use back propagation with temporal data. However, both these problems were solved by the invention of gated units, such as the LSTM, gated recurrent unit (GRU), and their variants.

In [10], Sreeraj et al. presented a 2-layer LSTM model to perform modulation recognition over the synthetic dataset of 11 modulation schemes. Accuracies close to 90 percent were achieved for data with high signal-to-noise ratio coupled with time domain data. This implementation establishes the feasibility of using RNN models for learning RF features related to time domain analysis. However, the effectiveness of LSTM models for learning other RF parameters is still an open research challenge.

The RNN performs well with temporally correlated datasets through the implementation of the concepts of “memory” and “gates.” However, it responds poorly to spatially correlated data. RNN implementations also incorrectly classify rogue data as trusted when active adversaries spoof the signals as coming from trusted sources in cases where they are not.

Non-Parametric Bayesian Classifier

A non-parametric Bayes classifier is an unsupervised learning strategy that uses a probability density estimator to determine the probability of an observation belonging to a particular class. Nguyen et al. presented a non-parametric Bayesian learning [11] approach to identify wireless devices by characterizing their fingerprints. They considered a device-dependent feature space modeled as multivariate Gaussian distribution, which includes frequency difference and phase shift difference as dominant features. The non-parametric Bayesian learning approach is then employed over the generated distributions and used to identify the unknown number of clusters. Experimental results reveal that the proposed method was capable of clustering 1 to 4 Zigbee transmitting devices with a 100 percent hit rate. Next, they showed that the proposed RF fingerprinting approach can be applied for intrusion detection for Sybil and masquerade attacks by spoofing the medium access control (MAC) address. There is still a dearth of methods that are resilient to any general type of attacks for RFML systems.

Comparison of Different ML Techniques

Analyzing the potential of different kinds of ML approaches in RF parameter learning, we summarize that:

• SVM struggles to achieve high accuracies for higher dimensional datasets.
• The DNN is best suited for fixed valued RF parameters (e.g., rise time).
• The CNN works well with RF parameters that exhibit high spatial correlations (e.g., modulation techniques).
ML techniques to work is that
the systems is accurate and faithfully represents
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data generation process. Hence, the model learned from this data is able to generalize. However, in an adversarial setting, we cannot anticipate the adversary’s moves; thus, there is no “clean” training data to begin with.

ADVERSARIAL LEARNING VIA GAN

Most of the ML techniques mentioned above can handle static (data) attacks after the model has been trained. Thus, once the trained model is available, the algorithm will not be affected by malicious changes to the test data. This is true for RF data as well. Note, however, that active attacks, where the adversary can modify the training data, are more problematic. In fact, detection of adversarial effects on RF data that exhibit dynamic statistical properties is extremely challenging and nontrivial. A premise for ML techniques to work is that the data used to train the systems is accurate and faithfully represents the distribution that describes the underlying data generation process. Hence, the model learned from this data is able to generalize. However, in an adversarial setting, we cannot anticipate the adversary’s moves; thus, there is no “clean” training data to begin with. Moreover, there are ways to pollute the training examples intentionally. Thus, most ML techniques would fail in such settings, as was made evident in [12]. To make matters worse, sophisticated adversaries would exploit the online learning mechanisms used by the ML systems and therefore be able to adopt strategies to mislead the learning process. This motivates the design of adversarial machine learning algorithms that can combat the presence of adversaries during and/or after the training phase. This makes it a difficult problem from both the perspective of ML as well as that of communications. Thus, it merits consideration and recently has become an open research area.

GENERATIVE ADVERSARIAL NETWORK

As mentioned before, one of the main problems of using DNNs for learning in adversarial settings is the possibility that the learned model is inaccurate because of interference of the adversary. In order to circumvent this problem, one possibility is to use DNNs in conjunction with GANs. Commonly used ML techniques use a discriminative model, which learns a function that maps the input data to some desired output class label (i.e., they learn the conditional distribution). On the contrary, a generative model tries to learn the joint probability of the input data and labels simultaneously, which can be converted to conditional probability for classification via Bayes rule. Generative adversarial networks, introduced by Goodfellow et al. in 2014 [2], are a class of artificial intelligence algorithms used in unsupervised ML. In a GAN, a system of two NNs contend with each other. One is called the generator $\mathcal{G}$, and the other is called the discriminator $\mathcal{D}$. The generator’s job is to create fake data that would have similar attributes to those in the actual training dataset. The discriminator’s job is to identify the generated data as real or fake. As this process continues, both $\mathcal{G}$ and $\mathcal{D}$ get better at generating fake data and identifying them, respectively.

In the context of RF signals, the adversary is like the generator $\mathcal{G}$, which tries to generate fake RF data and evade detection at the same time. The defender is the discriminator $\mathcal{D}$, which tries to distinguish the fake signal from the real ones. Both the adversary and the defender act in a round-robin manner, where the adversary learns to come up with new strategies to overpower the defense mechanisms employed by the defender. Both learn and adopt better strategies over time. The objective is to train $\mathcal{D}$ to maximize the probability of assigning the correct label (i.e., the detection problem) and train $\mathcal{G}$ simultaneously. Since $\mathcal{G}$’s costs depend on $\mathcal{D}$’s parameters and vice versa, the two models can continuously counter each other’s strategies. This situation is best represented as a game, more specifically a min-max game. The objective of this min-max game is to find the Nash equilibrium that minimizes the maximum loss. GANs are different from most of the current mechanisms that emphasize strengthening the defense mechanisms and ignore how the adversary might also strengthen its strategies over time.

GAN IMPLEMENTATION

In order to prove the efficacy of GANs in an adversarial setting, we implement the discriminator $\mathcal{D}$ and the generator $\mathcal{G}$ for the problem of transmitter identification through the use of RF fingerprints.

Data Collection and Design: We design the discriminator and generator separately, as shown in Fig. 2. As for the training phase, we collect over-the-air radio signal data from four software defined radios (T1–T4). We used Universal Software Radio Peripheral (USRP) B210s [13]. The adversarial network helps to strengthen both the generative and discriminative models through multiple iterations.

Generator: The adversary helps the discriminator to be robust enough to fight against future real adversaries. We model the generator to learn the distribution of different features from the sample space. The generator is also fed with additive white Gaussian noise along with the features to generate fake signal data. Over time, the generator learns the probability distribution over the sample space of the input.

Discriminator: The discriminator is trained over both real and fake data created by the generator. The model learns by minimizing a cost function during training, which depends on both the generator and the discriminator. In each iteration the discriminator is trained to become smarter to identify the signals generated by the generator. Although in theory the game between the generator and the discriminator can go on indefinitely, depending on the ratio of data and
model density, the discriminator overpowers the generator most of the time. This happens due to the vanishing gradient for the generator. In practice, this phenomenon results in generating more accurate ML classifiers. The discriminator is typically implemented as a deeper network than the generator to get a purposeful implementation of the GAN framework.

**Configuration:** We train both the generator and discriminator through iterative sequential learning to strengthen the generative model over time. We model the generator as a three-layer NN with sigmoid activation, generating fake radio signal data. The discriminator is modeled as a five-layer deep network with a softmax activation at the end. We use categorical cross-entropy training on an Adam optimizer for gradient-based optimization. We implement the GAN in Python using ML libraries Keras as the frontend and Tensorflow as the backend.

**Results:** We notice that the discriminator is able to detect the fake transmitters (generated by the generator) with 50 percent accuracy before the GAN training. After several epochs (< 50) of training, the optimal discriminator (D*) is able to detect the fake transmitters with about 90 percent accuracy, as shown in the confusion matrix in Fig. 3. The proposed model is able to recognize the rogue transmitters as well as categorize the trusted ones (T1–T4) with similar accuracy. It is evident that the number of false positives and false negatives in the confusion matrix are low and looks promising for future advancement of GAN oriented research in the RF domain.

**Conclusions**

In order to optimally use the radio resources, it is imperative to develop techniques that can learn, characterize, and predict the RF environment efficiently. In this article, we discuss some of the supervised and unsupervised learning strategies that have been used to investigate different types of problems in the RF domain. However, most of these methods suffer from an adversarial disadvantage. We demonstrate the efficacy of a GAN-based approach for identification of transmitters in adversarial RF environments.

**References**


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